

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

FORM B18 MAR 16 PM 1:10
U.S. House of Representatives

Name: <u>Alvin Grayson</u>		Daytime Telephone: _____
FILER STATUS	New Member or Candidate for U.S. House of Representatives	State: <u>FL</u> District: <u>TSD</u>
	Candidates – Date of Election: <u>11/8/18</u>	<input type="checkbox"/> Check if Amendment
	New Officer or Employee Employing Office: _____	Staff Filer Type (If Applicable): <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant Period Covered: January 1, <u>2017</u> to <u>2/14/18</u>
<p style="text-align: right;">(Office Use Only)</p> <p>A \$200 penalty shall be assessed against any individual who files more than 30 days late.</p>		

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	G. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? Yes No

EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes No

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: ALAN GRAY

Page 2 of 8

Assets and/or Income Sources												Value of Asset												Type of Income												Amount of Income											
												BLOCK A												BLOCK B												BLOCK C											
<p>(Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable assets or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use any ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "Rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP), or dependent child (DC), or jointly held with anyone (JT).</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>												<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>"Column M is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>												<p>Check all columns that apply. For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p>											
Sp. DC. LT. Exempt: ABC HedgeFund	Mega Corp Stock Simon & Schuster X	None \$1-\$1,000 \$1,001-\$15,000 \$15,001-\$50,000 \$50,001-\$100,000 \$100,001-\$250,000 \$250,001-\$500,000 \$500,001-\$1,000,000 \$1,000,001-\$5,000,000 \$5,000,001-\$25,000,000 \$25,000,001-\$50,000,000 Over \$50,000,000 Spouse/DC Asset over \$1,000,000*	A B C D E F G H I J K L M	Value of Asset												Type of Income												Amount of Income																			
				Block A												Block B												Block C																			
				Interest												Dividends												Tax-Deferred																			
				Rent												Capital Gains												Interest																			
				Interest												Dividends												Capital Gains																			
				Capital Gains												Interest												Dividends																			
				EXCEPTED/BLIND TRUST												Interest												Dividends																			
				TAX-DEFERRED												Interest												Dividends																			
				Other Type of Income (Specify, e.g., Partnership Income or Farm Income)												Interest												Dividends																			
				Royalties												Interest												Dividends																			
Partnership Income												Interest												Dividends																							
Current Year												Preceding Year												Current Year																							
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest											
Interest												Dividends												Interest												Dividends											
Interest												Dividends												Tax-Deferred												Interest </td											

SCHEDULE A -- ASSETS & "UNEARNED INCOME"

Name: **ALAN GLAYDON**

Page **3** of **8**

SP. J.T. DC.	ASSET NAME	EF	BLOCK A Assets and/or Income Sources	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income										
				None												
				\$1-\$1,000												
				\$1,001-\$15,000												
				\$15,001-\$50,000												
				\$50,001-\$100,000												
				\$100,001-\$250,000												
				\$250,001-\$500,000												
				\$500,001-\$1,000,000												
				\$1,000,001-\$5,000,000												
				\$5,000,001-\$25,000,000												
				\$25,000,001-\$50,000,000												
				Over \$50,000,000												
				Spouse/DC Asset over \$1,000,000*												
				NONE												
				DIVIDENDS												
				RENT												
				INTEREST												
				CAPITAL GAINS												
				EXCEPTED/BLIND TRUST												
				TAX-DEFERRED												
				Other Type of Income (Specify: e.g., Partnership Income or Farm Income)												
				None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
				\$1-\$200												
				\$201-\$1,000												
				\$1,001-\$2,500												
				\$2,501-\$5,000												
				\$5,001-\$15,000												
				\$15,001-\$50,000												
				\$50,001-\$100,000												
				\$100,001-\$1,000,000												
				\$1,000,001-\$5,000,000												
				Over \$5,000,000												
				Spouse/DC Income over \$1,000,000*												
				None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
				\$1-\$200												
				\$201-\$1,000												
				\$1,001-\$2,500												
				\$2,501-\$5,000												
				\$5,001-\$15,000												
				\$15,001-\$50,000												
				\$50,001-\$100,000												
				\$100,001-\$1,000,000												
				\$1,000,001-\$5,000,000												
				Over \$5,000,000												
				Spouse/DC Income over \$1,000,000*												
X	DC Foothill Bank	X														
X	Desiree Clinic	X														
X	ACM Note	X														
X	K & K Bank Notes	X														
X	Auto Tr. - book	X														
X	Computer Consulting - book	X														
X	GC TR R - book	X														
X	SB 20% Notes - book	X														
X	U.S.T. Bonds	X														
X	Griffith Real Estate Co. - book	X														
X	WPC Spellerberg Fund Interest	X														
X	Consignor - book	X														
X	Pet. Cash	X														
X	Regis Cash	X														

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Andrew Grayson

Page 4 of 3

Use additional sheets if more space is required

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Alan Garcia

Page 5 of 30

SCHEDULE C – EARNED INCOME

Name: Alan Grayson

Page 6 of 8

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totalling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,485. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Source (include date of receipt for honoraria)	Type	Current Year to Filing	Preceding Year	Amount
ABC Trade Association, Baltimore, MD (July 15)	Honorarium	\$0	\$500	
State of Maryland	Salary	\$20,000	\$16,000	
Child War Roundtable (Oct 2)	Spouse Speech	\$0	\$1,000	
Ontario County Board of Education	Spouse Salary	N/A	N/A	
<u>IRA Conversion</u>	<u>to LCN IRA</u>	<u>40.-</u>	<u>120,469</u>	
<u>Mkt Expert Consulting, Inc.</u>	<u>Spouse Pay</u>	<u>n/a</u>	<u>n/a</u>	

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: ALAN GRAVES

Page 7 of 8

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000.

*Column K is for liabilities held solely by your spouse or dependent child.

SP. DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property Dover, DE		\$10,001-\$15,000									
WELLS FARGO		Dec. 2005	Line of Credit Held by C.R.B.		\$15,001-\$50,000									
SP Finance		Jan. 2013	Open End Credit/Installment Credit Card/Debt	X	\$50,001-\$100,000									
Spouse/Dependents					\$100,001-\$250,000									
Wells					\$250,001-\$500,000									
Coldwell Banker					\$500,001-\$1,000,000									
					\$1,000,001-\$5,000,000									
					\$5,000,001-\$25,000,000									
					\$25,000,001-\$50,000,000									
					Over \$50,000,000									
					Over \$1,000,000* (Spouse/DC Liability)									

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

Position	Name of Organization
Manager	Slykhuizen Mgmt Co.
Partner	Shelbyville Financial LP
Treasurer	East Tennessee Telephone & Telegraph Co.
Trustee	Grayson Foundation
Director	Aut. Tr.

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: **ADAO GUTIERREZ**

Page **8** of **9**

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. **New Members:** Members are required to report all liabilities secured by real property (including mortgages on their personal residence). **Exclude:** Any mortgages on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000.

*Column K is for liabilities held solely by your spouse or dependent child.

SP. DC, JR Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability									
			A	B	C	D	E	F	G	H	I	J
Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property Dover, DE	\$10,001-\$15,000									
			\$15,001-\$50,000									
			\$50,001-\$100,000	X								
			\$100,001-\$250,000									
			\$250,001-\$500,000									
			\$500,001-\$1,000,000									
			\$1,000,001-\$5,000,000									
			\$5,000,001-\$25,000,000									
			\$25,000,001-\$50,000,000									
			Over \$50,000,000									
			Over \$1,000,000* (Spouse/DC Liability)									

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Chair/President	Grunion Consulting Inc
Chair/President	38296 Yukon Inc.
Member	Virtual Music Technologies, Inc.
Officer/Director	Geekz Inc.

Use additional sheets if more space is required.